

Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Adam Wilkinson (Full Name of Councillor or Designated Person)	
In respect of the period from:	1 July 2019 to 30 June 2020	
	(Return Period)	
	25 August	t, 2020
(Councillor's or Designated Pe	erson's Signature/Typed Name) (Date the form	n was completed)



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

# B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - b) in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

1. *Sources of income I reason the first day after the return dat from an occupation at any time	te and ending on the fo	ollowing 30 June Of	n in the period commencing on R *Sources of income I received	
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)	
Engineering Services Manager	Penrith City Council 601 High Street Penrith NSW 2750			
2. *Sources of income I reason day after the return date and er trust during the return period.			period commencing on the first es of income I received from a	
Name and address of settlor	, 2	Name and address	of trustee	
Nil				
3. * Sources of other income I reasonably expect to receive in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of other income I received at any time during the return period: (Include description sufficient to identify the person from whom, or the circumstances in which, that income was received).				
Nil				

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.





### C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- > person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Nil			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details) Nil	No

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
Nil	

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



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	ng the return period
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	Disposition of real property - Schedule 1, Part 2, Clauses 23 - 25 of the Model Code of
	Conduct

- - > A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
Nil			



2 Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.			
Nil			
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures		
Nil			



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- All parts of the return should be completed with appropriate information for the relevant return
  period since the last return, that is, the period from the return date of the last return to 30 June
  in this year or the period from the end of the last return period to 30 June in this year
  (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Andrew Moore (Full Name of Councillor or Designated Person)		
In respect of the period from:	1 July 2019 to 30 June	2020	
	(Return Period)		
		24 August 2020	
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)	



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest	

# B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- ➤ The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

1. *Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from an occupation at any time during the return period Sources.					
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)		
Local Government employee Director Corporate Services	Penrith City Council 601 High Street, PENRITH NSW 2750				
day after the return date and entrust during the return period.		30 June OR *Source	period commencing on the first es of income I received from a		
Name and address of settlor		Name and address	s of trustee		
NIL					
3. * Sources of other income I reasonably expect to receive in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of other income I received at any time during the return period: (Include description sufficient to identify the person from whom, or the circumstances in which, that income was received).					
NIL					

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- ➤ A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



### C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
CivicRisk West 2/77 Union Road PENRITH NSW 2750	Council is a joint venture member	Director	Local Government Insurances
CivicRisk Mutual 2/77 Union Road PENRITH NSW 2750	Council is a joint venture member	Director	Local Government Insurances

# F. Interests as a property developer or a close associate of a property developer – Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct

- ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
- ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No NIL

# G. Positions in trade unions and professional or business associations – Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose:
- a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
- the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
- c) a description of the position held in each of the unions and associations.
- A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.





G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



	and address of each person to whom I was liable to pay any debt at the return date/ at any time the return period
IL	

- > A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
- A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
- A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
NIL			



	Particulars of each disposition of property to a person by any other person under arrangements by me (including the street address of the affected property), being dispositions made at any time g the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the erty.
NIL	
	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of  Conduct  A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures
NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

29.	Andrew Robinson (Full Name of Councillor or Designated Person)		
In respect of the period from:	1 July 2019 to 30 June	2020	
	(Return Period)		
		18 August 2020	
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)	



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest	

# B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

	te and ending on the f	ollowing 30 June Ol	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address emport of office held		Name under which partnership conducted (if applicable)
Community Facilities and Recreation Manager	Penrith City Council 601 High Street Penrith NSW 2750		NIL
day after the return date and entrust during the return period.			period commencing on the first es of income I received from a
Name and address of settlor		Name and address	s of trustee
NIL		NIL	
3. * Sources of other income I after the return date and endin- time during the return period: (circumstances in which, that inco	g on the following 30 J Include description suffi	June OR *Sources o	f other income I received at any
NIL	,	NIL	

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.





C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	NIL

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL	NIL	NIL

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
	NIL	NIL	NIL
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a property developer or a clos	se associate of a property developer on the
return date? (Y/N)	

No

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	NIL

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



- > A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
- A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
- A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected perty) at any time during the return period as a result of which I retained, either wholly or in part, the and benefit of the property or the right to re-acquire the property at a later time.
NIL	



	Particulars of each disposition of property to a person by any other person under arrangements by me (including the street address of the affected property), being dispositions made at any time g the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the erty.
NIL	
	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of  Conduct  A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures
NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- All parts of the return should be completed with appropriate information for the relevant return
  period since the last return, that is, the period from the return date of the last return to 30 June
  in this year or the period from the end of the last return period to 30 June in this year
  (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Brian Steffen (Full Name of Councillor or Designated Person)	
In respect of the period from:	1 July 2019 to 30 June	
00.2000	(Return Period)	
		07/08/2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- > An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period

Nature of Interest

# B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - b) in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.
- ➤ The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

1. \*Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR \*Sources of income I received from an occupation at any time during the return period Sources.



Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Director City Services	Penrith City Council, 601 High Street, Penrith NSW 2750		
day after the return date and trust during the return period	ending on the following	g 30 June OR *Sourc	period commencing on the first ces of income I received from a
Name and address of settlor			s of trustee
NIL NIL		1	
	ing on the following 30 : (Include description suf	June OR *Sources of	d commencing on the first day of other income I received at any terson from whom, or the
NIL		NIL	

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- ➤ A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



### C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor	
NIL	NIL	

# D. Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- > For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL	NIL	NIL

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Penrith Whitewater Stadium Ltd, McCarthy's Lane, Penrith NSW 2750	Director	Director	NIL

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.
  - F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)
-----------------------------

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	NIL

#### H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- > A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



#### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period

NIL

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

1 Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.

NIL

2 Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.

NIL

- J. Discretionary disclosures Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct
  - A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.
  - J. Discretionary disclosures

NIL



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

#### **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Carlie Ryan	
	(Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	
		6 August 2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an	Nature of Interest
interest at the return date/ at any time during the return period	
NIL	NIL
1112	1112
NIL	NIL

#### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - b) in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.
- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.



#### B. Sources of income

Description of Occupation	Name & address emplo of office held	oyer/description	Name under which partnership conducted (if applicable)
City Deals Manager	Penrith City Council 601 High Street Penrith NSW 2750		
	ending on the following 3		period commencing on the first ses of income I received from a
Name and address of settlor		Name and address	s of trustee
NIL			
	ng on the following 30 Jun	ne OR *Sources of	l commencing on the first day of other income I received at any
time during the return period		ent to identify the p	erson from whom, or the
	ome was received).	ent to identify the p	erson from whom, or the
time during the return period circumstances in which, that inc	ome was received).	i. 12	erson from whom, or the

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



## C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	NIL

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



#### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL	NIL	NIL

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL	NIL	NIL	NIL
NIL	NIL	NIL	NIL
NIL	NIL	NIL	NIL

# F. Interests as a property developer or a close associate of a property developer – Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct

- ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
- ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N) No

Yes - (please give details)	No	

# G. Positions in trade unions and professional or business associations – Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct

- > A person making a return under clause 4.21 of the Code must disclose:
- a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
- the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
- c) a description of the position held in each of the unions and associations.
- A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	NIL
NIL	NIL

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



#### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period	
NIL	
NIL	
NIL	
NIL	

## Disposition of real property – Schedule 1, Part 2, Clauses 23 – 25 of the Model Code of Conduct

- ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
- ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
- A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.
NIL
NIL
NIL
NIL
Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.
NIL
NIL
NIL

## J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct

A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.

J. Discretionary disclosures

NIL	
NIL	
NIL	
NIL	
NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

#### DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

#### Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	David Parry (Full Name of Councillor or Designated Person)		
500 <b>-</b> 2000 (			
In respect of the period from:	1 July 2019 to 30 June	2020	
	(Return Period)		
		28/09/2020	
(Councillor's or Designated Pe	rson's Signature/Typed Name)	(Date the form was completed)	



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

A. Real property	N.
ddress of each parcel of real property in which I had an terest at the return date/ at any time during the return period	Nature of Interest

#### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

#### B. Sources of income

	ate and ending on the f	ollowing 30 June O	n in the period commencing on R *Sources of income I received	
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)	
Customer Experience Manager	Penrith City Council 601 High Street Penrith NSW 2750			
day after the return date and e trust during the return period	ending on the following	30 June OR *Source	period commencing on the first es of income I received from a	
Name and address of settlor		Name and address	s of trustee	
NIL				
	ng on the following 30 c (Include description suffi	June OR *Sources of	I commencing on the first day of other income I received at any erson from whom, or the	
NIL				

#### C. Gifts – Schedule 1, Part 2, Clauses 9 – 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



#### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No	

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

#### H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- ➤ A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - i. the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



#### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period
NIL

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - > A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
NIL			



Particulars of each disposition of property to a person by any other person under arrangemen made by me (including the street address of the affected property), being dispositions made at any tin during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of property.	ne
NIL	
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>	
NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

#### **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period

Nature of Interest

### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

#### B. Sources of income

	te and ending on the f	ollowing 30 June Ol	n in the period commencing on R *Sources of income I received	
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)	
Governance Manager	Penrith City Council 601 High Street Penrith NSW 2750			
2. *Sources of income I reason day after the return date and er trust during the return period.			period commencing on the first es of income I received from a	
Name and address of settlor		Name and address	s of trustee	
Nil				
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that income	g on the following 30 c Include description suffi	lune OR *Sources o	f other income I received at any	
Nil	"			

#### C. Gifts – Schedule 1, Part 2, Clauses 9 – 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



#### C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Nil			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a property develo	per or a close associate of a property developer on the
return date? (Y/N)	

Yes - (please give details)	No	

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
Nil	

#### H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- ➤ A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period
Nil

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affecte property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
Nil			



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.			
Nil			
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>			
Nil			



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

#### Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

#### **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

By: Gowry Gowrythasan  (Full Name of Councillor or Designated F		nated Person)
In respect of the period from:	1 July 2019 to 30 June (Return Period)	2020
	(Netarri V Griod)	24/08/2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

#### B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

#### B. Sources of income

1. *Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from an occupation at any time during the return period Sources.				
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)	
Acting Manager Civil Works, Workshop and Fleet Operations	Penrith City Council 601 High Street Penrith NSW 2750		Employee	
2. *Sources of income I reason day after the return date and er trust during the return period.			period commencing on the first es of income I received from a	
Name and address of settlor		Name and address	s of trustee	
NIL				
3. * Sources of other income I reasonably expect to receive in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of other income I received at any time during the return period: (Include description sufficient to identify the person from whom, or the circumstances in which, that income was received).				
NIL				

## C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- > For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.





C. Gifts

Name and address of donor

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



#### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
	NIL	

## E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
	NIL		

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a property developer or a	ose associate of a property developer on t	he
return date? (Y/N)		

No
NO

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position

#### H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



#### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any Iuring the return period	/ time

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - > A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.	
NIL	



2 Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.		
	NIL	
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures	
	NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

Ву:	Greg McCarthy (Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	
		05/08/2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

A. Real property	1000
Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

## B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - b) in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- ➤ The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

1. *Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from an occupation at any time during the return period Sources.			
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Environmental Health & Compliance Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reason day after the return date and er trust during the return period.			period commencing on the first es of income I received from a
Name and address of settlor		Name and address	s of trustee
NIL			
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that income	g on the following 30 J Include description suffi	lune OR *Sources o	f other income I received at any
NIL			

# C. Gifts – Schedule 1, Part 2, Clauses 9 – 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.



For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



### C. Gifts

Name and address of donor

# D. Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

N	No

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



#### H. Debts

Name and address during the return p	of each person to whom I was liable to pay any debt at the return date/ at any time eriod
NIL	

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - > A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

## I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
NIL			
-			



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.		
NIL		
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>		
NIL		



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Jade Bradbury (Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	
(Councillor's or Designated Pe	erson's Signature/Typed Name)	7/08/2020  — (Date the form was completed)



# Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

# Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - in relation to income from an occupation of the person: a)
    - a description of the occupation, and i.
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, b)
  - in relation to any other income, a description sufficient to identify the person from c) whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

1. *Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from an occupation at any time during the return period Sources.				
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)	
Children's Services Manager	Penrith City Council 601 High Street Penrith NSW 2750			
2. *Sources of income I reason day after the return date and entrust during the return period.				
Name and address of settlor	7	Name and address	s of trustee	
NIL				
3. * Sources of other income I rafter the return date and ending time during the return period: (circumstances in which, that incompared to the control of t	on the following 30 J Include description suffi	lune OR *Sources o	f other income I received at any	
NIL	, ,			

## C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



### C. Gifts

Name and address of donor

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- > person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
	travel was

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No NO	

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



## H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period		
NIL		
	_	

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - > A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
NIL			



duri	Particulars of each disposition of property to a person by any other person under arrangements e by me (including the street address of the affected property), being dispositions made at any time ng the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the perty.
NIL	
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures
NIL	•



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Jane Howard (Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	
		5/8/20
(Councillar's or Designated Bo	proprie Signature/Tuned Name)	(Data the form was completed)
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



## A. Real property – Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest
NIL	

# B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

1. *Sources of income I reason the first day after the return dat from an occupation at any time	te and ending on the fe	ollowing 30 June Of	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
ICT Operations Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reasons day after the return date and en trust during the return period.			
Name and address of settlor		Name and address	s of trustee
NIL			and the first day
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that income	g on the following 30 J Include description suffi	lune OR *Sources o	f other income I received at any
NIL			

## C. Gifts – Schedule 1, Part 2, Clauses 9 – 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



### C. Gifts

Name and address of donor

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- > person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



# D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a property developer or a c	ose associate of a property developer on th	ne
return date? (Y/N)		

No

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



## H. Debts

Name and address during the return p	of each person to whom I was liable to pay any debt at the return date/ at any time period
NIL	

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affect rty) at any time during the return period as a result of which I retained, either wholly or in part, the nd benefit of the property or the right to re-acquire the property at a later time.	
NIL		



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.
NIL
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>
· · · · · · · · · · · · · · · · · · ·



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

Ву:	By: Jeni Pollard  (Full Name of Councillor or Designated Person)		
In respect of the period from:	1 July 2019 to 30 June	2020	
Service Services	(Return Period)		
		28.09.2020	
(Councillar's or Designated Bo	erson's Signature/Typed Name)	(Date the form was completed)	



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- > A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an	Nature of Interest
interest at the return date/ at any time during the return period	Tractic of interest
interest at the retain dates at any time daring the retain period	

# B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - b) in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- ➤ The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

1. *Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from an occupation at any time during the return period Sources.				
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)	
City Activation, Community and Place Manager	Penrith City Council 601 High Street Penrith NSW 2750			
2. *Sources of income I reason day after the return date and er trust during the return period.			period commencing on the first es of income I received from a	
Name and address of settlor		Name and address	s of trustee	
NIL				
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that income	g on the following 30 J Include description suffi	lune OR *Sources o	f other income I received at any	
NIL				

# C. Gifts – Schedule 1, Part 2, Clauses 9 – 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



### C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



# D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No	
NIL		

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

#### H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



e/ at any time

- > A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
- A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
- A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
NIL			



duri	Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.		
NIL			
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures		
NIL			



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Johannes Meijer (Full Name of Councillor or Designated Person)	
In respect of the period from:	1 July 2019 to 30 June (Return Period)	2020
		05/08/2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



### A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

A. Real property	
Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

#### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

#### B. Sources of income

1. *Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from an occupation at any time during the return period Sources.				
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)	
City Assets Manager	Penrith City Council 601 High Street Penrith NSW 2750			
day after the return date and er trust during the return period.			period commencing on the first es of income I received from a	
Name and address of settlor		Name and address	s of trustee	
NIL				
3. * Sources of other income I rafter the return date and ending time during the return period: (circumstances in which, that income	g on the following 30 J Include description suffi	June OR *Sources of	of other income I received at any	
NIL				

#### C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

### Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



#### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



#### E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a property developer	or a close	associate	of a property	developer	on the
return date? (Y/N)			1200 101 File (120 Care (1		

No

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

#### H. Debt – Schedule 1, Part 2, Clauses 31 – 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



H. Debts
Name and address of each person to whom I was liable to pay any debt at the return date/ at any time
during the return period
NIL
I. Disposition of real property – Schedule 1, Part 2, Clauses 23 – 25 of the Model Code of
Conduct
- Conduct
A person making an annual return under clause 4.21 of the Code must disclose
particulars of each disposition of real property by the person (including the street
address of the affected property) in the period since 30 June of the previous financial
year, under which they wholly or partly retained the use and benefit of the property or
the right to re-acquire the property.
<ul> <li>A person making an annual return under clause 4.21 of the Code must disclose</li> </ul>
particulars of each disposition of real property to another person (including the street
address of the affected property) in the period since 30 June if the previous financial
year, that is made under arrangements with, but is not made by, the person making
the return, being a disposition under which the person making the return obtained
wholly or partly the use of the property.
<ul> <li>A disposition of real property need not be disclosed it if was made prior to a person</li> </ul>
becoming a Councillor or designated person.
boothing a countinor of accignated person.
I. Disposition of real property
1 Particulars of each disposition of real property by me (including the street address of the affected
property) at any time during the return period as a result of which I retained, either wholly or in part, the
use and benefit of the property or the right to re-acquire the property at a later time.
NIL
INIE.



durii	Particulars of each disposition of property to a person by any other person under arrangements e by me (including the street address of the affected property), being dispositions made at any time ng the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the perty.
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures
NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- All parts of the return should be completed with appropriate information for the relevant return
  period since the last return, that is, the period from the return date of the last return to 30 June
  in this year or the period from the end of the last return period to 30 June in this year
  (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

#### Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

Ву:	(Full Name of Councillor or Designated Person)			_
In respect of the period from:	1 July 2019 to 30 June	2020		
	(Return Period)			-
		Ю	AUGUST	2020
(Co	ure/Typed Name)	(Date the form	was completed)	_



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

A person making an annual return under Clause 4.21 of the Code must disclose:

- a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
- b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property	
Address of each parcel of real property in which I had an	Nature of Interest

- B. Source of income Schedule 1, Part 2, Clauses 26 30 of the Model Code of Conduct
  - A person making an annual return under clause 4.21 of the Code must disclose:
    - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
    - each source of income received by the person in the period since 30 June of the previous financial year.
  - A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
    - a) in relation to income from an occupation of the person:
      - i. a description of the occupation, and
      - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
      - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
    - b) in relation to income from a trust, the name and address of the settlor and the trustee, or
    - in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.
  - The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.



> The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

#### B. Sources of income

Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)	
City Presentation Manager	Penrith City Council 601 High Street Penrith NSW 2750		(1	
2. *Sources of income I reason day after the return date and e trust during the return period.	nding on the following 30	om a trust in the June OR *Source	period commencing on the first ces of income I received from a	
			ne and address of trustee	
Name and address of settlor		lame and address	s of trustee	
Name and address of settlor  NIL  3. * Sources of other income I after the return date and endir time during the return period:	reasonably expect to rec g on the following 30 Jur (Include description sufficie	eive in the period ne OR *Sources o	d commencing on the first day of other income I received at any	
Name and address of settlor  NIL  3. * Sources of other income I	reasonably expect to rec g on the following 30 Jur (Include description sufficie	eive in the period ne OR *Sources o	d commencing on the first day of other income I received at any	
Name and address of settlor  NIL  3. * Sources of other income I after the return date and endir time during the return period: circumstances in which, that income in the settlement of the set	reasonably expect to rec g on the following 30 Jur (Include description sufficie	eive in the period ne OR *Sources o	d commencing on the first day of other income I received at any	

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

#### D. Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



#### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		
ů.		W. W.

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a
    position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



#### E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you	a property developer	or a close	associate of	a property develo	per on the
return date?	(Y/N)				

No

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - > A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	
-	

#### H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- ➤ A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



#### H. Debts

uring the return	period person to whom	n I was liable to pay any	debt at the return date/ at ar	ny time
IIL				

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Dispo	sition of real property
property) at a	ulars of each disposition of real property by me (including the street address of the affected by time during the return period as a result of which I retained, either wholly or in part, the fit of the property or the right to re-acquire the property at a later time.
NIL	
	i i
2 Partic	ulars of each disposition of property to a person by any other person under arrangements
	including the street address of the affected property), being dispositions made at any time



V	CITY COUNCIL
duri	ng the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the erty.
NIL	
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.
	J. Discretionary disclosures
NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

#### Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

#### **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Joshua Fayle (Full Name of Councillor or Designated Person)	
In respect of the period from:	1 July 2019 to 30 June 2020	
	(Return Period)	
	5/8/2020	
(Councillor's or Designated Pe	erson's Signature/Typed Name) (Date the form was completed)	



### A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

#### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- ➤ The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

#### B. Sources of income

<ol> <li>*Sources of income I reason the first day after the return dat from an occupation at any time</li> </ol>	te and ending on the f	ollowing 30 June O	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
People and Culture Manager	Penrith City Council 601 High Street Penrith NSW 2750		Nil
2. *Sources of income I reason day after the return date and er trust during the return period.			period commencing on the first ses of income I received from a
Name and address of settlor		Name and address	s of trustee
3. * Sources of other income I r			I commencing on the first day of other income I received at any
time during the return period: (circumstances in which, that incor	Include description suffi		
Nil		Nil	

#### C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



#### C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	Nil

### Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil	Nil	Nil

### E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- > An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



#### E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Nil	Nil	Nil	Nil

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No	
	No	

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
XXV XX	NIL
Nil	

#### H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



#### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period		
Nil		

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - > A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
Nil			



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.		
Nil		
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct	
	A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.	
	J. Discretionary disclosures	
Nil		



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- All parts of the return should be completed with appropriate information for the relevant return
  period since the last return, that is, the period from the return date of the last return to 30 June
  in this year or the period from the end of the last return period to 30 June in this year
  (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Kylie Powell (Full Name of Councillor or Designated Person)	
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	
		2/9/2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



## A. Real property – Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

	A. Itea property		
	Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest	
İ			
I			

#### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - b) in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

#### B. Sources of income

1. *Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from an occupation at any time during the return period Sources.			
Description of Occupation			Name under which partnership conducted (if applicable)
Director City Futures	Director City Futures Penrith City Council 601 High Street, Penrit		
			period commencing on the first es of income I received from a
Name and address of settlor		Name and address	s of trustee
Nil			
3. * Sources of other income I after the return date and endin time during the return period: circumstances in which, that inco	g on the following 30 c (Include description suffi	June OR *Sources of	of other income I received at any
Nil			

## C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- ➤ A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	

### Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



#### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil		

### E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



### E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Nil			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

No

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
Nil	

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period
Nil

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
Nil			



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.
Nil
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>
Nil



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

### Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

By: Linda Ross

(Full Name of Councillor or Designated Person)

In respect of the period

from: 1 July 2019 to 30 June 2020



10/08/2020

(Councillor's or Designated Person's Signature/Typed Name)

(Date the form was completed)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period

Nature of Interest

## B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee,
     or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

	te and ending on the fo	ollowing 30 June Ol	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Manager - People and Children	Penrith City Council 601 High Street Penrith NSW 2750		
day after the return date and er trust during the return period.		30 June OR *Source	
Name and address of settlor		Name and address	s of trustee
NIL			
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that income	g on the following 30 J Include description suffi	une OR *Sources of	of other income I received at any
NIL			

## Gifts – Schedule 1, Part 2, Clauses 9 – 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



#### C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No
V 32	

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



address of each person to whom I was liable to pay any debt at the return date/ at any tile return period

- Conduct
  - > A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affecte property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
NIL			



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.			
NIL			
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures		
NIL	•		



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

Ву:	Matthew Bullivant (Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	2.4
(Councillor's or Designated Pe	erson's Signature/Typed Name)	6 August 2020  (Date the form was completed)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.
- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.



# B. Sources of income

Description of Occupation	Name & address en of office held	ployer/description	Name under which partnership conducted (if applicable)
Legal Services Manager	Penrith City Council 601 High Street Penrith NSW 2750		
	ending on the following		period commencing on the first es of income I received from a
Name and address of settlor		Name and address	s of trustee
NIL			
3. * Sources of other income after the return date and enditime during the return period	ng on the following 30 (Include description suf	June OR *Sources of	I commencing on the first day of other income I received at any erson from whom, or the
3. * Sources of other income after the return date and endi time during the return period circumstances in which, that inc	ng on the following 30 (Include description suf	June OR *Sources of	of other income I received at any
3. * Sources of other income after the return date and endi time during the return period circumstances in which, that inc	ng on the following 30 (Include description suf	June OR *Sources of	of other income I received at any
3. * Sources of other income after the return date and endi time during the return period circumstances in which, that inc	ng on the following 30 (Include description suf	June OR *Sources of	of other income I received at any
	ng on the following 30 (Include description suf	June OR *Sources of	of other income I received at any

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



#### C. Gifts

Name and address of donor

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- > person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a property developer or a	ose associate of a property de	eveloper on the
return date? (Y/N)		

No

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- > A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - i. the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



	H. Debts
	me and address of each person to whom I was liable to pay any debt at the return date/ at any time ring the return period
NIL	
	Disposition of real property – Schedule 1, Part 2, Clauses 23 – 25 of the Model Code of Conduct
	A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial

the right to re-acquire the property.

A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.

year, under which they wholly or partly retained the use and benefit of the property or

A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

	I. Disposition of real property
	Particulars of each disposition of real property by me (including the street address of the affected perty) at any time during the return period as a result of which I retained, either wholly or in part, the and benefit of the property or the right to re-acquire the property at a later time.
NIL	



made by me (including the street address of the affect during the return period, as a result of which I obtaine property.	
NIL	
<ul> <li>J. Discretionary disclosures – Schedule 1, Part Conduct         <ul> <li>A person may voluntarily disclose in a reliability, whether pecuniary or not, that is provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>	
NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- 1. The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the Government Information (Public Access) Act and the Regulation 2009 and any guidelines issued by the Information Commissioner. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

Ву:	Michael Jackson	
	(Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
**************************************	(Return Period)	
		State College
		5/08/2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)

Councillor's or Designated Person's Signature/Typed Name)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

## B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

from an occupation at any time Description of Occupation	Name & address em of office held		Name under which partnership conducted (if applicable)
Design & Projects Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reason day after the return date and en trust during the return period.			period commencing on the first es of income I received from a
Name and address of settlor		Name and address	s of trustee
after the return date and ending time during the return period: (	g on the following 30 . (Include description suffi	lune OR *Sources o	f other income I received at any
after the return date and ending time during the return period: (	g on the following 30 . (Include description suffi	lune OR *Sources o	f other income I received at any
after the return date and ending time during the return period: (	g on the following 30 . (Include description suffi	lune OR *Sources o	f other income I received at any
after the return date and ending time during the return period: (	g on the following 30 . (Include description suffi	lune OR *Sources o	f other income I received at any
after the return date and ending time during the return period: (	g on the following 30 . (Include description suffi	lune OR *Sources o	f other income I received at any
after the return date and ending time during the return period: (	g on the following 30 . (Include description suffi	lune OR *Sources o	f other income I received at any
3. * Sources of other income I after the return date and ending time during the return period: (circumstances in which, that incomplete in the content of the circumstances in which, that incomplete in the circumstances in the circu	g on the following 30 . (Include description suffi	lune OR *Sources o	f other income I received at any
after the return date and ending time during the return period: (	g on the following 30 . (Include description suffi	lune OR *Sources o	f other income I received

## C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (N)

Yes - (please give details)	No	
	NO / NIL	

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any ti during the return period	me
NIL	

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. D	isposition of real property
1 P property)	articulars of each disposition of real property by me (including the street address of the affected at any time during the return period as a result of which I retained, either wholly or in part, the benefit of the property or the right to re-acquire the property at a later time.
NIL	



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.		
NIL		
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures	
NIL	•	



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

By: Natasha Borgia
(Full Name of Councillor or Designated Person)

In respect of the period from: 1 July 2019 to 30 June 2020
(Return Period)



(Councillor's or Designated Person's Signature/Typed Name)

(Date the form was completed)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

A. Real property	
Address of each parcel of real property in which I had an	Nature of Interest
interest at the return date/ at any time during the return period	(
	2

# B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- ➤ The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

1. *Sources of income I reasonably expect to receive from an occupation in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from an occupation at any time during the return period Sources.			
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
City Planning Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reasonably expect to receive from a trust in the period commencing on the first day after the return date and ending on the following 30 June OR *Sources of income I received from a trust during the return period.			
Name and address of settlor		Name and address	s of trustee
3. * Sources of other income I after the return date and ending			I commencing on the first day
time during the return period: ( circumstances in which, that income		cient to identify the p	erson from whom, or the
NIL			

## C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No
The Company is P.C & J.M Williams Pty Ltd trading as Austilt Constructions	

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.21 of the Code must disclose:



- a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
- the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
- c) a description of the position held in each of the unions and associations.
- A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

# H. Debt – Schedule 1, Part 2, Clauses 31 – 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



NIL

H. Debts
Name and address of each person to whom I was liable to pay any debt at the return date/ at any time
during the return period
NIL
<ol> <li>Disposition of real property – Schedule 1, Part 2, Clauses 23 – 25 of the Model Code of Conduct</li> </ol>
A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.
I. Disposition of real property
Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.

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Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.
NIL
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>
NIL



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Nathan Burbridge (Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	
		04/09/2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

# B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

	te and ending on the fo	ollowing 30 June O	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Economic Initiatives Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reason day after the return date and er trust during the return period.		30 June OR *Source	
Name and address of settlor		Name and address	s of trustee
"NIL"			
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that income	g on the following 30 J Include description suffi	lune OR *Sources of	of other income I received at any
"NIL"			

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.





C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
"NIL"	

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
"NIL"		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- > An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
"NIL"			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No	
"NIL"		

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
"NIL"	

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period			
"NIL"			

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - > A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
"NIL"			



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.
"NIL"
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>
"NIL"



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

Ву:	Nathan Ritchie (Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	
		29/09/2020
(2) (440)	65 Set 6 35 Marries systems as	The state of the s
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

the first day after the return d from an occupation at any tim	ate and ending on the f ie during the return per	following 30 June O riod Sources.	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address em of office held	ployer/description	Name under which partnership conducted (if applicable)
Property Development Manager	Penrith City Council 601 High Street Penrith NSW 2750		
	ending on the following		period commencing on the first ces of income I received from a
Name and address of settlor		Name and address	s of trustee
	ng on the following 30 (Include description suff	June OR *Sources of	d commencing on the first day of other income I received at any erson from whom, or the
Rental Income from		Agent – Think Pink	
15 Gladstone Road, Rivervale WA 6103		Tennant – Tia C. C	ornwell and Gareth L.Glasgow
		1	

### C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	

# D. Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Nil			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No
I am a Property Developer for Penrith City Council (current employer, who is not incorporated).	
I comply with the definition.	

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NII	

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - i. the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



### H. Debts

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
NII			



duri	Particulars of each disposition of property to a person by any other person under arrangements be by me (including the street address of the affected property), being dispositions made at any time and the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the perty.
Nil	
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures
NII	



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

### Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Neil Farquharson	
×-200	(Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	
×		27/08/2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest
	I.

# B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

	te and ending on the f	ollowing 30 June O	n in the period commencing on R *Sources of income I received
	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Financial Services Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reason day after the return date and er trust during the return period.			period commencing on the first ces of income I received from a
Name and address of settlor		Name and address	s of trustee
3. * Sources of other income I r	rocconobly expect to	Nil	Learnessing on the first day
	g on the following 30 on the following sufficients	June OR *Sources of	of other income I received at any

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.



For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	Nil

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil	Nil	Nil

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Nil	Nil	Nil	Nil

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No No

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
Nil	Nil

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period		

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

# I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected perty) at any time during the return period as a result of which I retained, either wholly or in part, the and benefit of the property or the right to re-acquire the property at a later time.
Nil	



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.
Nil
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>
I am the Treasurer of a Strata Committee for my owner occupier property at 503/8 Aviators Way Penrith NSW 2750. I receive nil income nor any gifts for this role.



Part 4 of the Model Code of Conduct for Local Councils in NSW

# DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

### Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Peter Wood (Full Name of Councillor or Designated Person)		
In respect of the period	(i dii Name di Codnelloi di Desigi	lateu Fersony	
	1 July 2019 to 30 June 2020		
	(Return Period)		
		28/09/2020	
(Councillor's or Designated Person's Signature/Typed Name)		(Date the form was completed)	



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- > An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

### B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

	te and ending on the f	ollowing 30 June Ol	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Development Services Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reasonated after the return date and entrust during the return period.			period commencing on the first es of income I received from a
Name and address of settlor		Name and address of trustee	
NIL			
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that incor	g on the following 30 c Include description suffi	June OR *Sources of	f other income I received at any
NIL			

### C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.



For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



## C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- > person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a
    position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



### E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
NIL			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

NO

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period				
NIL				

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

i. Disposition of real property	
Particulars of each disposition of real property by me (including the street address of the a property) at any time during the return period as a result of which I retained, either wholly or in pause and benefit of the property or the right to re-acquire the property at a later time.	
NIL	



	Particulars of each disposition of property to a person by any other person under arrangements by me (including the street address of the affected property), being dispositions made at any time g the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the erty.
NIL	
	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures
NIL	



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

### **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Rebecca Hackney (Full Name of Councillor or Design	nated Person)
In respect of the period from:	1 July 2019 to 30 June	2020
	(Return Period)	5 August 2020
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- > An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

### B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- ➤ The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

1. *Sources of income I reason the first day after the return dat from an occupation at any time	te and ending on the fo	ollowing 30 June Of	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Communications Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reasons day after the return date and en trust during the return period.			
Name and address of settlor		Name and address	s of trustee
Nil			
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that income	g on the following 30 J Include description suffi	lune OR *Sources o	f other income I received at any
Nil	,1		

### C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil		

## E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- > An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Nil			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No - No I was not.	

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position		
Nil			

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



#### H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period			
Nil			

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

	Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.			
Nil				



duri	Particulars of each disposition of property to a person by any other person under arrangements le by me (including the street address of the affected property), being dispositions made at any time ng the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the perty.
Nil	
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures
Nil	



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- 1. The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

### DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

By: Sandy Davies

(Full Name of Councillor or Designated Person)

In respect of the period

from: 1 July 2019 to 30 June 2020

(Return Period)

(Councillor's or Designated Person's Signature/Typed Name)

201-110000

(Date the form was completed)



## Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- > A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- > An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- > An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- > In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A Real property

A. Real property	
Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

## Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ΙÍ. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - b) in relation to income from a trust, the name and address of the settlor and the trustee,
  - in relation to any other income, a description sufficient to identify the person from c) whom, or the circumstances in which the income was received.



- > The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- > The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

B. Sources of income

He Sources of Incomplicationally expection early from an occupation in the period commending on the first day of the formation date and and no on the following 30 June 9R. Sources of Incomplicative decimal and the formation of the following sources.					
from an occupation at any time	duing the return per	od Sources.	A Datte Dominant Index (City		
Description of Occupation	Name & address emp of office held	oloyer/description	Name under which partnership conducted (if applicable)		
Director Community & People	Penrith City Council 601 High Street Penrith 2750				
2 Source of the construction	ably,expectitoreceive	iromé (uusidhdha)	algement of the first of the fi		
cayaterthoretum dato and en trust during the return period.	ding on the following		ne noullesteed comments		
Name and address of settlor		Name and address	s of trustee		
3 Sources of other income ilin	easonably expectition	ective in the period	confine and on the thereby * .		
filmologitho (the termino edoca)	ivelngogeseilbyovistyin Journa renovinti Sins	dentioldentitythep	keningaligan (kelistely) Kelist Income Inconvelektiony Keningan kanangan		
circumstances in which that incor	ne was received) *********				
NIL ·					

### C. Gifts – Schedule 1, Part 2, Clauses 9 – 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- > For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



Description of each gift I received at any time during the return period.	Name and address of donor
Nil	

## D. Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- > A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- > For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



D. Contribution to travel					
Name and added or each person who made any financial or other contribution to any travel under taken by me at any time during the return to the contribution of the return to the re	¿Datesion which	Nemero States, Temportes of the Commonwealth and evercas countries hawhilentievel was anderial common a second			
Tinancial crother contribution to any travel	travelwas	Commonwealth and overseas			
undertaken by me at any time during the return	undertaken 🗀	icountiles in which travel was			
period		aunderiaken zue de			
Compared to the second state of the second s	A	The state of the s			
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Nil		,			
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# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- > An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- > An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



E. Interests and positions in corporations

Name and address of each corporation in which I had an interestor helds position at the return date /- at any time during the return period	Nature of Interest (if any)	Description (in the position (in the pos	Description of principal biological collects (lifany) or collects (lifany) or collects (lifany) or case of listed company) s
Nil .			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a property	developer or a close associate of a property developer on t	the
return date? (Y/N)		

Yes - (please give details)	(No '	•

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - > A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Remedicachticies into a micre in protessional or the surface of th	Description of the position
NIL	

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- > A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- > A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- > A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - i. the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



l. Disposition of real property

Name and address of each of the return period	ach person to whom I wa	s liable to pay any debt a	the return date/ at any/time

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - > A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

1 Particulars property) at any tim use and benefit of t	of each disposition of each disposition in the disposition in the disposition in the property or the	n of real prope n period as a r right to re-acc	rty by me (includes ultro) esult/o/(which il juire the propert	ling the street ad etained either w y at a later time	dress of the directed holly or in part, the
NIL					等交通可靠的 \$2.50 英语中心常含,是"尔德特鲁"自己
	***				

	sioneach dispositio Iding the street add period, as an esulto	ressionthe affect (AWhich I obtain	ted property), bell el, elther wholly, c	in de li constitui de li const Li constitui de li constitui d	dealanydin adbenediko
perty 1					
J.L					
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A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.

NIL

NIL



Part 4 of the Model Code of Conduct for Local Councils in NSW

### DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

### Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

### **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Sarah Dean (Full Name of Councillor or Design	arah Dean ull Name of Councillor or Designated Person)	
In respect of the period from:	1 July 2019 to 30 June	2020	
000,000000	(Return Period)		
		5 August 2020	
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)	



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Nature of Interest

### B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

	te and ending on the f	ollowing 30 June O	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address emplor of office held		Name under which partnership conducted (if applicable)
Library Services Manager	Penrith City Council 601 High Street Penrith NSW 2750		Nil
			period commencing on the first es of income I received from a
Name and address of settlor		Name and address	s of trustee
3. * Sources of other income I after the return date and endin time during the return period:	g on the following 30 J	lune OR *Sources of	of other income I received at any
circumstances in which, that inco	me was received).	Nil	
Nil		130	

### C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



#### C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	Nil

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



### D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil	Nil	Nil

## E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



### E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Nil	Nil	Nil	Nil

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes - (please give details)	No No	
Nil		

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
Nil	Nil

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



H. Debts

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
Nil		



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.			
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures		
Nil			



Part 4 of the Model Code of Conduct for Local Councils in NSW

### DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the Government Information (Public Access) Act and the Regulation 2009 and any guidelines issued by the Information Commissioner. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

### DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS

(Clause 4.21 of the Model Code of Conduct)

Ву:	Stephen Britten		
330.	(Full Name of Councillor or Designated Person)		
In respect of the period from:	1 July 2019 to 30 June	2020	
	(Return Period)		
		5th August 2020	
(Councillor's or Designated Pe	erson's Signature/Typed Name)	(Date the form was completed)	

(Date the form was completed)



## A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- > A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

### B. Source of income – Schedule 1, Part 2, Clauses 26 – 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- ➤ The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

### B. Sources of income

Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Chief Governance Officer Director—	Penrith City Council Penrith Valley Co-op Housing Society		
	ending on the following		period commencing on the first ces of income I received from a
Name and address of settlor		Name and address	s of trustee
after the return date and endi time during the return period	ng on the following 30 J : (Include description suffi	lune OR *Sources of	I commencing on the first day of other income I received at any erson from whom, or the
after the return date and endi time during the return period	ng on the following 30 J : (Include description suffi	lune OR *Sources of	of other income I received at any
after the return date and endi	ng on the following 30 J : (Include description suffi	lune OR *Sources of	of other income I received at any
after the return date and endi time during the return period	ng on the following 30 J : (Include description suffi	lune OR *Sources of	of other income I received at any

## C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- ➤ A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



# C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



# D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil		

# Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



## E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
Penrith Valley Co-operative Housing Society	Member	Director	Affordable Homes
S & J Britten Pty Ltd Britten Income Pty Ltd	Shareholder Shareholder	Director	Self Man Superfund Self Man Superfund
Tennyson Britten Pty Ltd Stephen Barrie Britten and Jane Therese Britten Pty Ltd	Shareholder Shareholder	Director Director	SMSF Property Trustee Property Unit Trust

# F. Interests as a property developer or a close associate of a property developer – Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct

- A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
- For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? (Y/N)

Yes- (please give-details)- Not a Property developer	No

# G. Positions in trade unions and professional or business associations – Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct

- ➤ A person making a return under clause 4.21 of the Code must disclose:
- a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date,
   and
- the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
- c) a description of the position held in each of the unions and associations.



A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
Nil	

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



H. Debts
Name and address of each person to whom I was liable to pay any debt at the return date/ at any time
during the return period Nil
NII
I. Disposition of real property - Schedule 1, Part 2, Clauses 23 - 25 of the Model Code of
Conduct
A person making an annual return under clause 4.21 of the Code must disclose
particulars of each disposition of real property by the person (including the street
address of the affected property) in the period since 30 June of the previous financial
year, under which they wholly or partly retained the use and benefit of the property or
the right to re-acquire the property.
A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street
address of the affected property) in the period since 30 June if the previous financial
year, that is made under arrangements with, but is not made by, the person making
the return, being a disposition under which the person making the return obtained
wholly or partly the use of the property.
<ul> <li>A disposition of real property need not be disclosed it if was made prior to a person</li> </ul>
becoming a Councillor or designated person.
2
I. Disposition of real property
1 Particulars of each disposition of real property by me (including the street address of the affected
property) at any time during the return period as a result of which I retained, either wholly or in part, the
use and benefit of the property or the right to re-acquire the property at a later time.
Nil



made by	Particulars of each disposition of property to a person by any other person under arrangements me (including the street address of the affected property), being dispositions made at any time ne return period, as a result of which I obtained, either wholly or in part, the use and benefit of the .
Nil	
Co	Scretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Induct  A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  Discretionary disclosures



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

## Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

## Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Ву:	Tracy Chalk		
	(Full Name of Councillor or Designated Person)		
In respect of the period	1 July 2019 to 30 June 2020		
nom.	(Return Period)		
9 <del></del>			
Tracy Chalk Septem	ber 28 2020		



# A. Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- > An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property

Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest

## B. Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - a) in relation to income from an occupation of the person:
    - i. a description of the occupation, and
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, or
  - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

	te and ending on the fo	ollowing 30 June Ol	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address employer/description of office held		Name under which partnership conducted (if applicable)
Waste & Resource Recovery Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reasonate day after the return date and er trust during the return period.			period commencing on the first es of income I received from a
Name and address of settlor		Name and address	s of trustee
Nil			
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that incor	g on the following 30 J Include description suffi	une OR *Sources o	f other income I received at any
Nil			

## C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



## C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
Nil	

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
Nil		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position *at the return date/* at any time during the return period	Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)
WSU(Hawkesbury) Foundation	Honorary	Board Member	
Hwkesbury City Netball Assoc. Inc	Honorary	President	
Panthers Premier League Netball Inc	Honorary	Director	

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a property develo	per or a close associate of a property developer on the
return date? (Y/N)	kandi. Sakka siki salah beraksistan di semanak karanda kebasa sebesah kebasa salah mendebata ti in melapak kara

No

- G. Positions in trade unions and professional or business associations Schedule 1, Part
   2. Clauses 21 and 22 of the Model Code of Conduct
  - > A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - i. the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



## H. Debts

Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period		
L		

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - ➤ A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

Particulars of each disposition of real property by me (including the street address of the affect property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.		
NIL		



Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.			
NIL			
<ul> <li>J. Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct         <ul> <li>A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.</li> </ul> </li> <li>J. Discretionary disclosures</li> </ul>			
NIL NIL			



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

# General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

#### Note:

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

## **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

(Clause 4.21 of the Model Code of Conduct)

Warwick Winn (Full Name of Councillor or Designated Person)	
1 July 2019 to 30 June	2020
(Return Period)	
	9 September 2020
and New Toward News	(Date the form was completed)
	1 July 2019 to 30 June



# Real property - Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct

- A person making an annual return under Clause 4.21 of the Code must disclose:
  - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
  - b) the nature of the interest.
- An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
  - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
  - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
- An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
- In clause 5, interest includes an option to purchase.

## Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

	A. Real property	
	Address of each parcel of real property in which I had an interest at the return date/ at any time during the return period	Nature of Interest
J		

## Source of income - Schedule 1, Part 2, Clauses 26 - 30 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
  - b) each source of income received by the person in the period since 30 June of the previous financial year.
- A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
  - in relation to income from an occupation of the person: a)
    - a description of the occupation, and i.
    - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
    - if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
  - in relation to income from a trust, the name and address of the settlor and the trustee, b)
  - in relation to any other income, a description sufficient to identify the person from c) whom, or the circumstances in which the income was received.



- The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
- The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.

## B. Sources of income

	te and ending on the fe	ollowing 30 June O	n in the period commencing on R *Sources of income I received
Description of Occupation	Name & address empof office held	ployer/description	Name under which partnership conducted (if applicable)
General Manager	Penrith City Council 601 High Street Penrith NSW 2750		
2. *Sources of income I reasons day after the return date and er trust during the return period.			period commencing on the first es of income I received from a
Name and address of settlor		Name and address	s of trustee
NIL .			
3. * Sources of other income I r after the return date and ending time during the return period: ( circumstances in which, that income	g on the following 30 J Include description suffi	lune OR *Sources of	of other income I received at any
NIL			

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- ➤ A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



## C. Gifts

Description of each gift I received at any time during the return period	Name and address of donor
NIL	

# Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- > For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



## D. Contribution to travel

Name and added of each person who made any financial or other contribution to any travel undertaken by me at any time during the return period	Dates on which travel was undertaken	Name of States, Territories of the Commonwealth and overseas countries in which travel was undertaken
NIL		

## E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



# E. Interests and positions in corporations

Nature of Interest (if any)	Description of position (if any)	Description of principal objects (if any) or corporation (except in case of listed company)

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

# F. Were you a property developer or a close associate of a property developer on the return date? N

Yes - (please give details)	No

- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or business association in which I held any position (whether remunerated or not) *at the time of the return date/* at any time during the return period	Description of the position
NIL	

## H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



H. Debts
Name and address of each person to whom I was liable to pay any debt at the return date/ at any time during the return period
NIL
<ol> <li>Disposition of real property – Schedule 1, Part 2, Clauses 23 – 25 of the Model Code of Conduct</li> </ol>
A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained
<ul> <li>wholly or partly the use of the property.</li> <li>A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.</li> </ul>
I. Disposition of real property
Particulars of each disposition of real property by me (including the street address of the affected property) at any time during the return period as a result of which I retained, either wholly or in part, the use and benefit of the property or the right to re-acquire the property at a later time.
NIL

2 Particulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time



during the return period, as a result of which I obtained, either wholly or in part, the use and benefit of the property.		
NIL		
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.	
	J. Discretionary disclosures	
NIL		



Part 4 of the Model Code of Conduct for Local Councils in NSW

## DISCLOSURE OF INTERESTS BY COUNCILLOR OR DESIGNATED PERSON

## General Instructions in Completing the Return

- The pecuniary interests and other matters to be disclosed in this return are incorporated in Part 4 of the Model Code of Conduct for Local Councils in NSW as prescribed by local Government Act 1993 and Local Government (General) Regulation 2005.
- 2. All parts of the return should be completed with appropriate information for the relevant return period since the last return, that is, the period from the return date of the last return to 30 June in this year or the period from the end of the last return period to 30 June in this year (whichever is appropriate).
- 3. The particulars required to complete this form should be written in block letters or typed. Forms with illegible information will need to be returned to you for correction.
- 4. If any space is insufficient in this form for all the particulars required to complete it, an appendix properly identified and signed by you is to be attached.

### Note:

ln

If there are no pecuniary interest or other matters of the kind required to be disclosed under a particular main heading in this form, the word 'NIL' is to be placed in an appropriate space under that heading.

# Important Information

This information is being collected for the purpose of compliance with Clause 4.21 of the Model Code of Conduct. You must not lodge a return that you know, or ought reasonably to know, is false or misleading in a material particular. Complaints made about contraventions of these requirements may be referred to the Local Government Pecuniary Interest and Disciplinary Tribunal.

The information collected on this form will be kept by the General Manager in a register of returns. The General Manager is required to table all returns at a Council Meetings. The returns register is to be made publicly available in accordance with the requirements of the *Government Information (Public Access) Act* and the *Regulation 2009* and any guidelines issued by the *Information Commissioner*. You have an obligation to keep the information contained in this register up to date. If you become aware of a new interest that must be disclosed or an interest that you previously fail to disclose you must submit an updated return within 3 months of becoming aware of the previously undisclosed interest.

# **DISCLOSURES OF PECUNIARY INTERESTS AND OTHER MATTERS**

By: Wayne Mitchell

(Clause 4.21 of the Model Code of Conduct)

-	(Full Name of Councillor or Designated Person)
respect of the period from:	1 July 2019 to 30 June 2020
	(Return Period)

(Councillor's or Designated Person's Signature/Typed Name)

(Date the form was completed)



- A. Real property Schedule 1, Part 2, Clauses 5, 6 & 7 of the Model Code of Conduct
  - ➤ A person making an annual return under Clause 4.21 of the Code must disclose:
    - a) the street address of each parcel of real property in which they had an interest at any time during the return period, and
    - b) the nature of the interest.
  - > An interest in a parcel of real property need not be disclosed in a return if the person making the return had the interest only:
    - a) as executor of the will, or administrator of the estate, of a deceased person and not as a beneficiary under the will or intestacy, or
    - b) as a trustee, if the interest was acquired in the ordinary course of an occupation not related to his or her duties as the holder of a position required to make a return.
  - An interest in a parcel of real property need not be disclosed in a return if the person ceased to hold the interest prior to becoming a Councillor or designated person.
  - In clause 5, interest includes an option to purchase.

#### Note:

You have a pecuniary interest in a property if you own or are buying your own home, rent a property, or if you live in a property owned by someone else but don't actually pay them.

A. Real property	
Address of each parcel of real property in which I had an	Nature of Interest
interest at the return date! at any time during the return period	

- B. Source of income Schedule 1, Part 2, Clauses 26 30 of the Model Code of Conduct
  - > A person making an annual return under clause 4.21 of the Code must disclose:
    - each source of income that the person reasonably expects to receive in the period commencing on the first day after the return date and ending on following 30 June, and
    - b) each source of income received by the person in the period since 30 June of the previous financial year.
  - A reference in clause 26 to each source of income received or reasonably expected to be received by a person is a reference to:
    - a) in relation to income from an occupation of the person:
      - i. a description of the occupation, and
      - ii. if the person is employed or the holder of an office, the name and address of his or her employer or a description of the office, and
      - iii. if the person has entered into a partnership with other persons, the name (if any) under which the partnership is conducted, or
    - b) in relation to income from a trust, the name and address of the settlor and the trustee, or
    - c) in relation to any other income, a description sufficient to identify the person from whom, or the circumstances in which the income was received.
  - > The source of any income need not be disclosed by a person in a return if the amount of the income received, or reasonably expected to be received, by the person from that source did not exceed \$500, or is not reasonably expected to exceed \$500, as the case may be.
  - > The source of income received by the person that they ceased to receive prior to becoming a Councillor or designated person need not be disclosed.



b. Sources of income			
Ale Source parlineous lice to The Alect day ther the return de Arom three are flowers with	ite and ending on the f	ollowing 30 June 0	n Indiceptated commending on M R. Sources of Income like lived
Description of Occupation	Name & address em of office held		Name under which partnership conducted (if applicable)
Director of Development and Regulatory Services	Penrith City Council 601 High Street Penrith		
PP Source So/Ilpean Olicesor (Ey en adhorainm delo en d' Cueta uning Chorainm godod	nding con the following	30 June ORUSourd	periodicommencing on the tirst periodicom of the district of the mean of the district
Name and address of settlor		Name and addres	
nil			
137 *Source Fofother/Income   Effer/they furn dete endendi Ume during their turn periods elicum tences in which the time	rcs: onably expect to to g on fir the llowing sole (Industria distinctions of int was the fixed as	ecelyo-lindho\poxio uno (ola "Source a clendo del nullyan y	leomnending on the life lifety Softer Theomotice divided Entry Trontion Whomostic
nil	30		
3 000		L	

# C. Gifts - Schedule 1, Part 2, Clauses 9 - 11 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) a description of each gift received since the last return, and
  - b) the name and address of the donor of each of the gifts.
- > A gift need not be included in a return if:
  - a) it did not exceed \$500, unless it was among gifts totalling more than \$500 made by the same person during a period of 12 months or less, or
  - b) it was a political donation disclosed, or required to be disclosed, under Part 3 of the Election Funding Act 1981, or
  - c) the donor was a relative of the donee, or
  - d) subject to paragraph (a), it was received prior to the person becoming a Councillor or designated person.
- > For the purposes of above clause, the amount of a gift other than money is an amount equal to the value of the property given.



Description of each gift irreceived, at any time during the return period (1)	Name and address of donor
nil	

## Contribution to travel – Schedule 1, Part 2, Clauses 12 – 14 of the Model Code of Conduct

- person making an annual return under clause 4.12 of the Code must disclose:
  - a) the name and address of each person who made any financial or other contribution to the expenses of any travel undertaken by the person since the last return was made, and
  - b) the dates on which the travel was undertaken, and
  - c) the names of the States and Territories, and of the overseas countries, in which the travel was undertaken.
- > A financial or other contribution to any travel need not be disclosed under this clause if it:
  - a) was made from public funds (including a contribution arising from travel on free passes issued under an Act or from travel in government or Council vehicles), or
  - b) was made by a relative of the traveller, or
  - c) was made in the ordinary course of an occupation of the traveller that is not related to his or her functions as the holder of a position requiring the making of a return, or
  - d) did not exceed \$250, unless it was among gifts totalling more than \$250 made by the same person during a 12 month period or less, or
  - e) was a political donation disclosed, or required to be disclosed under Part 3 of the Election Funding Act, 1981, or
  - f) was made by a political party of which the traveller was a member and the travel was undertaken for the purpose of political activity of the party in New South Wales or to enable the traveller to represent the party within Australia, or
  - g) subject to paragraph (d) it was received prior to the person becoming a Councillor or designated person.
- > For the purposes of above clause, the amount of a contribution (other than a financial contribution) is an amount equal to the value of the contribution.



Name and added of each person who made any financial or other contribution to any travel the undertaken by most any time during the returnal period.	Detesson Winich Trevel was Lunderaken	Neme of States, verilloites of the Commonwellin and overess counties (inviden treed was undertaken
nil		

# E. Interests and positions in corporations – Schedule 1, Part 2, Clauses 15 – 18 of the Model Code of Conduct

- > A person making an annual return under clause 4.21 of the Code must disclose:
  - a) the name and address of each corporation in which he or she had an interest or held a position (whether remunerated or not) on the return date, and
  - b) the name and address of each corporation in which they had an interest or held a position in the period since 30 June of the previous financial year, and
  - c) the nature of the interest, or the position held, in each of the corporations, and
  - d) a description of the principal objects of each of the corporations, except in the case of a public company.
- An interest in, or a position held in a corporation need not be disclosed if the corporation is:
  - a) formed for the purpose of providing recreation or amusement or for promoting commerce, industry, art, science, religion or charity, or for any other community purpose, and
  - b) required to apply its profits or other income in promoting its objects, and
  - c) prohibited from paying any dividend to its members.
- An interest in a corporation need not be disclosed if the interest is a beneficial interest in shares in a company that does not exceed 10 per cent of the voting rights in the company.
- > An interest or a position in a corporation need not be disclosed if the person ceased to hold the interest or position prior to becoming a Councillor or designated person.



E. Interests and positions in corporations

Name and address of each corporation in which I had an interest or held a position taking return date, at any time during the return period	Nature of Interest (if any)	Description of the position (if any)	Description of principal collects (lireny) or corporation (except in case of listed company):
nil ·			

- F. Interests as a property developer or a close associate of a property developer Schedule 1, Part 2, Clauses 19 and 20 of the Model Code of Conduct
  - ➤ A person making a return under clause 4.12 of the Code must disclose whether they were a property developer, or a close associate of a corporation that, or an individual who, is a property developer, on the return date.
  - ➤ For the purposes of above clause close associate, in relation to a corporation or an individual, has the same meaning as it has in section 53 of the Electoral Funding Act 2018. Property developer has the same meaning as it has in Division 7 of Part 3 of the Electoral Funding Act 2018.

F. Were you a	a property developer	or a close	associate	of a property	developer	on the
return date? (	(Y/N)					

No
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- G. Positions in trade unions and professional or business associations Schedule 1, Part 2, Clauses 21 and 22 of the Model Code of Conduct
  - > A person making a return under clause 4.21 of the Code must disclose:
  - a) the name of each trade union, and of each professional or business association, in which he or she held any position (whether remunerated or not) on the return date, and
  - b) the name of each trade union, and of each professional or business associate, in which they have held any position (whether remunerated or not) in the period since 30 June of the previous financial year, and
  - c) a description of the position held in each of the unions and associations.
  - A position held in a trade union or a professional or business association need not be disclosed if the person ceased to hold the position prior to becoming a Councillor or designated person.



G. Positions in trade unions and professional or business associations

Name of each trade union and each professional or position of the posi

# H. Debt - Schedule 1, Part 2, Clauses 31 - 33 of the Model Code of Conduct

- A person making a return under clause 4.21 of the Code must disclose the name and address of each person to whom the person was liable to pay any debt on the return date and at any time since the last return.
- A liability to pay a debt must be disclosed by a person in a return whether or not the amount, or any part of the amount, to be paid was due and payable on the return date or at any time since 30 June of the previous financial year, as the case may be.
- A liability to pay a debt need not be disclosed by a person in a return of:
  - a) The amount to be paid did not exceed \$500 on the return date or at any time since the last return, as the case may be unless:
    - i. the debt was one of two or more debts that the person was liable to pay to one person on the return date or at any time since the last return was made, and
    - ii. the amounts to be paid exceeded, in the aggregate, \$500, or
  - b) the person was liable to pay the debt to a relative, or
  - c) in the case of a debt arising from a loan of money the person was liable to pay the debt to a bank, building society, credit union or other person whose ordinary business includes the lending of money and the loan was made in the ordinary course of business of the lender, or
  - d) in the case of debt arising from the supply of goods or services:
    - i. the goods or services were supplied in the period of 12 months immediately preceding the return date or were supplied since the last return, or
    - ii. the goods or services were supplied in the ordinary course of any occupation of the person that is not related to his or her duties as the holder of a position required to make a return.
  - e) subject to clause 33 (a), the debt was discharged prior to the person becoming a Councillor or designated person.



Name and addres	s of each person to wi period	nom I was liable to	pay any debt at	the return date/ at	any time.
		***************************************			

- Disposition of real property Schedule 1, Part 2, Clauses 23 25 of the Model Code of Conduct
  - A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property by the person (including the street address of the affected property) in the period since 30 June of the previous financial year, under which they wholly or partly retained the use and benefit of the property or the right to re-acquire the property.
  - A person making an annual return under clause 4.21 of the Code must disclose particulars of each disposition of real property to another person (including the street address of the affected property) in the period since 30 June if the previous financial year, that is made under arrangements with, but is not made by, the person making the return, being a disposition under which the person making the return obtained wholly or partly the use of the property.
  - ➤ A disposition of real property need not be disclosed it if was made prior to a person becoming a Councillor or designated person.

I. Disposition of real property

1. 2. 2. Particulars of each disposition of real property, by me (including the street address of the affected property) at any time during the return period as a result of which literalined, either wholly or in party the fuse and benefit of the property or thought to so require the property at a fater time.

nil

2. 2. 2. Ranticulars of each disposition of property to a person by any other person under arrangements made by me (including the street address of the affected property), being dispositions made at any time.



	CITY COUNCIL
durir prop	ng the return period, as a result of which Lobtained; either wholly or in part; the use and benefit of the erty erty: The erty of the erty
nil	
J.	Discretionary disclosures – Schedule 1, Part 2, Clauses 34 of the Model Code of Conduct  ➤ A person may voluntarily disclose in a return any interest, benefit, advantage or liability, whether pecuniary or not, that is not required to be disclosed under another provision of this Schedule.  J. Discretionary disclosures
nil	
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